

PROOF OF INTEREST

Case Number:

24-50792

☐ Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars.

☒ Check box if you have never received any notices from the bankruptcy court or the Debtors in this case.

☐ Check box if this address differs from the address on the envelope sent to you by the Debtors.

574-529-1725

U.S. BANKRUPTCY COURT
MARY A. SCHOTT, CLERK

COURT USE ONLY

Check here if this claim:

912367513

☐ replaces a previously filed Proof of Interest dated: _____
☐ amends a previously filed Proof of Interest dated: _____

3. Date Equity Interest was acquired:

ROBINHOOD
85 WILLOW RD.
MENIO PARK. CA 94025

2/24/21

See ATTACHED

650-761-7789

4. Total amount of member interest: See ATTACHED

5. Certificate number(s): _____

Please indicate the type of Equity Interest you hold:

☒ Check this box if your Equity Interest is based on an actual member interest held in the Debtor.

☐ Check this box if your Equity Interest is based on anything else and describe that interest:

Description:

INVESTOR

7. **Supporting Documents:** Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.

8. **Date-Stamped Copy:** To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.

9. Signature:

Check the appropriate box.

☒ I am the creditor. ☐ I am the creditor's authorized agent.
(Attach copy of power of attorney, if any.)

☐ I am the trustee, or the debtor, or their authorized agent.
(See Bankruptcy Rule 3004.)

☐ I am a guarantor, surety, endorser, or other codebtor.
(See Bankruptcy Rule 3005.)

I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.

Print Name: Dane Jenkins

Title:

Company: _____ Address and telephone number (if different from notice address above): _____

Tommy Lemstra
(Signature)

12-14-2024

Telephone number: 574-529-1725

email: weienkins19876376@

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

gmail.com



EQUINITI TRUST COMPANY, LLC
 OPERATIONS CENTER
 PO BOX 500
 NEWARK, NJ 07101

DANE JENKINS
 1860 E 1200 N
 MILFORD IN 46542

Statement Date: June 29, 2024
 Company Name: NEXT BRIDGE HYDROCARBONS INC.
 Company Number: 27067
 Stock Exchange:
 Company Ticker Symbol:
 CUSIP: 629999590
 Account Number: 0000015805
 W9/W8/W8BENE Certified: No
 Dividend Amount Paid YTD: \$0.00

Balance Summary as of 06/29/2024

| Total Shares | Closing Price Per Share | Estimated Value |
|--------------|-------------------------|-----------------|
| 5,480,000 | \$0.000 | \$0.00 |

Stock quotes are provided for informational purposes only. The quotes are supplied by an independent third-party as of a particular date. AST does not guarantee the accuracy of such information as at the date of this statement or at any future date. Neither AST nor its provider will be liable for any errors, incompleteness, or delays in the information herein, or for any actions taken in reliance thereon.

| | Plan Shares | Certificated Shares | DRS/Book Entry Shares |
|--------------|----------------|------------------------|--------------------------|
| Restricted | | 0.000 | 0.000 |
| Unrestricted | 0.000 | 0.000 | 5,480,000 |

If you have questions, please call our office at 800-937-5449. Our representatives are available to assist you Monday through Friday from 8:00 a.m. to 8:00 p.m. Eastern Time. You may also email us by selecting "Contact Us" on www.astfinancial.com



AST01270670000015805



7999.001.001.01024



85 Willow Rd, Menlo Park, CA 94025

help@robinhood.com

02/24/2021

Dane Jenkins Account #:912367513

1860 E 1200 N, Syracuse, IN 46567

**Transaction
Confirmation**

Thank you for letting Robinhood Securities, LLC ("RHS") serve you through Robinhood Financial, LLC ("RHF").

1. Amounts due for securities transactions must be received on or before the settlement date shown.

2. All orders are received and executed subject to the applicable rules, regulations and customs of the SEC, FINRA, and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934.

3. Failure of customer to notify RHS in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.

4. For purchase transactions in a margin account, it is agreed that sufficient cash or acceptable collateral will be deposited on or before the settlement date, or at such earlier time that payment may be demanded to satisfy applicable margin requirements.

5. Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which permit commingling thereof with securities of other customers, all without further notice to the customer.

6. If shares loaned for a short sale are no longer available, RHS reserves the right to decide, by random selection, which positions will be subject to a buy-in.

7. Upon written request and where available, further details of items herein will be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of fees and the remuneration details, if any, to RHS, or RHF for directing orders to select market participants and details of provisions that may cause a call or prepayment.

8. All transactions on this confirmation are presumed to be unsolicited unless noted otherwise.

9. Any Good Till Canceled (GTC) orders may expire or be terminated by RHS or RHF in their ordinary course of business after the order has remained open for a reasonable period of time. Please contact RHF for more specific details. Until such expiration or cancellation, or cancellation by the customer, all open orders are considered good. When entering a substitute order, the responsibility for cancelling the original order rests upon the customer. Therefore, if a customer fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) will be entered into the customer's account.

10. The responsibility to cancel an open order resides with the customer. Any transactions which result from the execution of any order which the customer has not instructed RHF/RHS to cancel will be entered into the customer's account.

11. The default Cost Basis Election or tax relief method used by RHS for tax preparing is First-In-First-Out (FIFO) for Equities. Please contact RHF if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.

12. Backup Withholding - if you have not provided us with your correct social security number/tax id number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.

13. RHS receives remuneration for directing orders to particular broker-dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transactions are available upon written request. RHF, when clearing through RHS, may share in such payments or may directly receive payment for order flow for certain transactions. Details are available upon written request.

14. If you request the sale of a non-marketable or worthless security, RHF will be the buyer to the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and RHF thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against RHF for any losses related to the sale.

Accounts carried by Robinhood Securities, LLC Member FINRA & SIPC - help@robinhood.com Tax ID 38-4019216

MKT = Market in which transaction was Executed/Cleared
 NYSE - New York Stock Exchange
 NYSEA - NYSE Alternext
 USE - Other US Exchange
 MF - Mutual Funds
 OP - Options
 OTC - Over-the-Counter/NASDAQ
 UND - Underwriting
 FOREX - Foreign Exchange
 OTH - Other

Buy/Sell Codes
 B = Buy or Buy To Open
 S = Sell or Sell To Close
 BCXL = Cancel Buy
 SCXL = Cancel Sell
 BTC = Buy To Close
 STO = Sell To Open
 BTCX = Buy To Close Cancel
 STOX = Sell To Open Cancel

Account Types
 C = Cash
 M = Margin
 N = Non-negotiable
 S = Short

U/S: Solicitation
 U = Unsolicited
 S = Solicited

CAP = Capacity in which the firm acted:
 1 - 2,4 - 5,8 - As AGENT
 3 - As PRINCIPAL, your broker has bought from you or sold to you and may have received a profit or loss on the transaction
 6 - As AGENT for both buyer and seller



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02/24/2021

Dane Jenkins Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|--|-----|------------|-------------|-----------|----------|-------|-------------|--------|----------|-------------|-----|-----|-----|
| WKHS 01/20/2023 Call \$20.00 | B | 02/24/2021 | 02/25/2021 | M | \$8.1000 | 9 | \$7,290.00 | \$0.00 | \$0.00 | \$7,290.00 | OTC | 1 | U |
| WKHS 01/20/2023 Call \$20.00 | B | 02/24/2021 | 02/25/2021 | M | \$8.1000 | 1 | \$810.00 | \$0.00 | \$0.00 | \$810.00 | OTC | 1 | U |
| WKHS 01/20/2023 Call \$20.00 | B | 02/24/2021 | 02/25/2021 | M | \$8.1000 | 3 | \$2,430.00 | \$0.00 | \$0.00 | \$2,430.00 | OTC | 1 | U |
| WKHS 01/20/2023 Call \$20.00 | B | 02/24/2021 | 02/25/2021 | M | \$8.1000 | 15 | \$12,150.00 | \$0.00 | \$0.00 | \$12,150.00 | OTC | 1 | U |
| WKHS 01/20/2023 Call \$20.00 | B | 02/24/2021 | 02/25/2021 | M | \$8.1000 | 8 | \$6,480.00 | \$0.00 | \$0.00 | \$6,480.00 | OTC | 1 | U |
| WKHS 01/20/2023 Call \$20.00 | B | 02/24/2021 | 02/25/2021 | M | \$8.1000 | 5 | \$4,050.00 | \$0.00 | \$0.00 | \$4,050.00 | OTC | 1 | U |
| WKHS 01/20/2023 Call \$20.00 | B | 02/24/2021 | 02/25/2021 | M | \$8.1000 | 9 | \$7,290.00 | \$0.00 | \$0.00 | \$7,290.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 200 | \$608.00 | \$0.00 | \$0.00 | \$608.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 200 | \$608.00 | \$0.00 | \$0.00 | \$608.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 300 | \$912.00 | \$0.00 | \$0.00 | \$912.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 200 | \$608.00 | \$0.00 | \$0.00 | \$608.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 100 | \$304.00 | \$0.00 | \$0.00 | \$304.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 500 | \$1,520.00 | \$0.00 | \$0.00 | \$1,520.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 600 | \$1,824.00 | \$0.00 | \$0.00 | \$1,824.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 100 | \$304.00 | \$0.00 | \$0.00 | \$304.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 5,400 | \$16,416.00 | \$0.00 | \$0.00 | \$16,416.00 | OTC | 1 | U |



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02/24/2021

Dane Jenkins Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|--|-----|------------|-------------|-----------|----------|-------|-------------|--------|----------|-------------|-----|-----|-----|
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0450 | 104 | \$316.68 | \$0.00 | \$0.00 | \$316.68 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0450 | 100 | \$304.50 | \$0.00 | \$0.00 | \$304.50 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0500 | 100 | \$305.00 | \$0.00 | \$0.00 | \$305.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0500 | 1,596 | \$4,867.80 | \$0.00 | \$0.00 | \$4,867.80 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$3.0500 | 9,500 | \$28,975.00 | \$0.00 | \$0.00 | \$28,975.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0450 | 200 | \$609.00 | \$0.00 | \$0.04 | \$608.96 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 100 | \$304.00 | \$0.00 | \$0.01 | \$303.99 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 100 | \$304.00 | \$0.00 | \$0.01 | \$303.99 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 3,590 | \$10,913.60 | \$0.00 | \$0.68 | \$10,912.92 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 98 | \$297.92 | \$0.00 | \$0.01 | \$297.91 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 100 | \$304.00 | \$0.00 | \$0.01 | \$303.99 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 2,500 | \$7,600.00 | \$0.00 | \$0.47 | \$7,599.53 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 2,500 | \$7,600.00 | \$0.00 | \$0.47 | \$7,599.53 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 60 | \$182.40 | \$0.00 | \$0.01 | \$182.39 | OTC | 1 | U |



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02/24/2021
Dane Jenkins Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|--|-----|------------|-------------|------------------------------|----------|-------|--------------|--------|----------|-------------|-----|-----|-----|
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 100 | \$304.00 | \$0.00 | \$0.01 | \$303.99 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0400 | 152 | \$462.08 | \$0.00 | \$0.02 | \$462.06 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 02/24/2021 | 02/26/2021 | M | \$3.0401 | 9,500 | \$28,880.95 | \$0.00 | \$1.77 | \$28,879.18 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$2.8100 | 800 | \$2,248.00 | \$0.00 | \$0.00 | \$2,248.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$2.8100 | 100 | \$281.00 | \$0.00 | \$0.00 | \$281.00 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$2.8100 | 683 | \$1,919.23 | \$0.00 | \$0.00 | \$1,919.23 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$2.8100 | 306 | \$859.86 | \$0.00 | \$0.00 | \$859.86 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 02/24/2021 | 02/26/2021 | M | \$2.8099 | 3,111 | \$8,741.60 | \$0.00 | \$0.00 | \$8,741.60 | OTC | 1 | U |
| Total Quantity Bought: | | | 24,050 | Total Dollars Bought: | | | \$112,422.67 | | | | | | |
| Total Quantity Sold: | | | 19,000 | Total Dollars Sold: | | | \$57,758.44 | | | | | | |

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Dane Jenkins Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|--|-----|------------|-------------|------------------------------|----------|----------|-----------|--------|----------|------------|--------|-----|-----|
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | S | 03/26/2021 | 03/30/2021 | M | \$1.9650 | 2.544529 | \$5.00 | \$0.00 | \$0.00 | \$5.00 | OTC | 3 | U |
| Total Quantity Bought: | | | 0 | Total Dollars Bought: | | | | | | | \$0.00 | | |
| Total Quantity Sold: | | | 2.544529 | Total Dollars Sold: | | | | | | | \$5.00 | | |



85 Willow Rd, Menlo Park, CA 94025
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06/22/2021

Dane Jenkins Account #: 912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|--|-----|------------|-------------|-----------|-----------|----------|------------------------------|--------|----------|------------|-----|-----|------------|
| Workhorse WKHS CUSIP: 98138J206 | S | 06/22/2021 | 06/24/2021 | M | \$14.0450 | 0.011933 | \$0.17 | \$0.00 | \$0.00 | \$0.17 | OTC | 3 | U |
| Workhorse WKHS CUSIP: 98138J206 | S | 06/22/2021 | 06/24/2021 | M | \$14.0450 | 340 | \$4,775.30 | \$0.00 | \$0.07 | \$4,775.23 | OTC | 3 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 06/22/2021 | 06/24/2021 | M | \$8.0850 | 500 | \$4,042.50 | \$0.00 | \$0.00 | \$4,042.50 | OTC | 1 | U |
| Torchlight Energy Resources TRCH CUSIP: 89102U103 | B | 06/22/2021 | 06/24/2021 | M | \$7.5450 | 50 | \$377.25 | \$0.00 | \$0.00 | \$377.25 | OTC | 1 | U |
| Total Quantity Bought: | | | 550 | | | | Total Dollars Bought: | | | | | | \$4,419.75 |
| Total Quantity Sold: | | | 340.011933 | | | | Total Dollars Sold: | | | | | | \$4,775.40 |



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06/28/2021


Dane Jenkins Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|---|-----|------------|-------------|-----------|----------|-------|-------------|--------|----------|-------------|-----|-----|-----|
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 244 | \$1,949.56 | \$0.00 | \$0.04 | \$1,949.52 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 5 | \$39.95 | \$0.00 | \$0.00 | \$39.95 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 100 | \$799.00 | \$0.00 | \$0.02 | \$798.98 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 5 | \$39.95 | \$0.00 | \$0.00 | \$39.95 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 20 | \$159.80 | \$0.00 | \$0.00 | \$159.80 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 5 | \$39.95 | \$0.00 | \$0.00 | \$39.95 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 100 | \$799.00 | \$0.00 | \$0.02 | \$798.98 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 1 | \$7.99 | \$0.00 | \$0.00 | \$7.99 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 20 | \$159.80 | \$0.00 | \$0.00 | \$159.80 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 218 | \$1,741.82 | \$0.00 | \$0.04 | \$1,741.78 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 35 | \$279.65 | \$0.00 | \$0.00 | \$279.65 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 10 | \$79.90 | \$0.00 | \$0.00 | \$79.90 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 1,710 | \$13,662.90 | \$0.00 | \$0.27 | \$13,662.63 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 200 | \$1,598.00 | \$0.00 | \$0.03 | \$1,597.97 | OTC | 1 | U |

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| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|---|-----|------------|-------------|-----------|----------|-----|-----------|------------------------------|----------|------------|-------------|-----|-----|
| Meta Materials MMAT CUSIP: 59134N104 | S | 06/28/2021 | 06/30/2021 | M | \$7.9900 | 100 | \$799.00 | \$0.00 | \$0.02 | \$798.98 | OTC | 1 | U |
| Total Quantity Bought: | | | 0 | | | | | Total Dollars Bought: | | | \$0.00 | | |
| Total Quantity Sold: | | | 2,773 | | | | | Total Dollars Sold: | | | \$22,155.83 | | |

Robinhood 

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06/30/2021

DANE JENKINS Account #:912367513

1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|---|-----|------------|-------------|-----------|----------|-----|------------------------------|--------|----------|------------|-----|------------|-----|
| Meta Materials MMAT CUSIP: 59134N104 | B | 06/30/2021 | 07/02/2021 | M | \$6.5000 | 300 | \$1,950.00 | \$0.00 | \$0.00 | \$1,950.00 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | B | 06/30/2021 | 07/02/2021 | M | \$6.5000 | 200 | \$1,300.00 | \$0.00 | \$0.00 | \$1,300.00 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | B | 06/30/2021 | 07/02/2021 | M | \$6.5000 | 200 | \$1,300.00 | \$0.00 | \$0.00 | \$1,300.00 | OTC | 1 | U |
| Meta Materials MMAT CUSIP: 59134N104 | B | 06/30/2021 | 07/02/2021 | M | \$6.5000 | 300 | \$1,950.00 | \$0.00 | \$0.00 | \$1,950.00 | OTC | 1 | U |
| Total Quantity Bought: | | | 1,000 | | | | Total Dollars Bought: | | | | | \$6,500.00 | |
| Total Quantity Sold: | | | 0 | | | | Total Dollars Sold: | | | | | \$0.00 | |

07/12/2021
DANE JENKINS Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|---|-----|------------|-------------|-----------|----------|------------------------------|-----------|--------|------------|------------|-----|-----|-----|
| Meta Materials MMAT CUSIP: 59134N104 | B | 07/12/2021 | 07/14/2021 | M | \$4.4050 | 0.014755 | \$0.06 | \$0.00 | \$0.00 | \$0.06 | OTC | 3 | U |
| Meta Materials MMAT CUSIP: 59134N104 | B | 07/12/2021 | 07/14/2021 | M | \$4.4050 | 227 | \$999.94 | \$0.00 | \$0.00 | \$999.94 | OTC | 3 | U |
| Total Quantity Bought: | | | 227.014755 | | | Total Dollars Bought: | | | \$1,000.00 | | | | |
| Total Quantity Sold: | | | 0 | | | Total Dollars Sold: | | | \$0.00 | | | | |

09/28/2021
DANE JENKINS Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| | | | |
|-------------------------------|--------------|------------------------------|------------|
| Total Quantity Bought: | 0 | Total Dollars Bought: | \$0.00 |
| Total Quantity Sold: | 1,227.014755 | Total Dollars Sold: | \$7,473.55 |

02/23/2022
DANE JENKINS Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|---|-----|------------|-------------|-----------|----------|-----|------------------------------|--------|----------|------------|-----|-----|-----|
| MMAT Preferred Shares MMTLP CUSIP: 59134N203 | S | 02/23/2022 | 02/25/2022 | M | \$1.8600 | 5 | \$9.30 | \$0.00 | \$0.00 | \$9.30 | OTC | 1 | U |
| Total Quantity Bought: | | | 0 | | | | Total Dollars Bought: | | | \$0.00 | | | |
| Total Quantity Sold: | | | 5 | | | | Total Dollars Sold: | | | \$9.30 | | | |

06/21/2022
DANE JENKINS Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|---|-----|------------|-------------|------------------------------|----------|-----|-----------|--------|----------|------------|--------|-----|-----|
| MMAT Preferred Shares MMTLP CUSIP: 59134N203 | S | 06/21/2022 | 06/23/2022 | M | \$1.3100 | 5 | \$6.55 | \$0.00 | \$0.00 | \$6.55 | OTC | 1 | U |
| Total Quantity Bought: | | | 0 | Total Dollars Bought: | | | | | | | \$0.00 | | |
| Total Quantity Sold: | | | 5 | Total Dollars Sold: | | | | | | | \$6.55 | | |

DANE JENKINS Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|---|-----|------------|-------------|-----------|----------|-----|------------------------------|--------|----------|------------|-----|-----|-----|
| MMAT Preferred Shares MMTLP CUSIP: 59134N203 | S | 07/22/2022 | 07/26/2022 | M | \$1.4300 | 2 | \$2.86 | \$0.00 | \$0.00 | \$2.86 | OTC | 1 | U |
| Total Quantity Bought: | | | 0 | | | | Total Dollars Bought: | | | \$0.00 | | | |
| Total Quantity Sold: | | | 2 | | | | Total Dollars Sold: | | | \$2.86 | | | |

08/18/2022
DANE JENKINS Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S | |
|---|-----|------------|-------------|-----------------------|----------|-----|-----------|--------|----------|------------|-----|-----|-----|--|
| MMAT Preferred Shares MMTLP CUSIP: 59134N203 | \$ | 08/18/2022 | 08/22/2022 | M | \$1.4100 | 5 | \$7.05 | \$0.00 | \$0.00 | \$7.05 | OTC | 1 | U | |
| Total Quantity Bought: | | | 0 | Total Dollars Bought: | | | | | | \$0.00 | | | | |
| Total Quantity Sold: | | | 5 | Total Dollars Sold: | | | | | | \$7.05 | | | | |



85 Willow Rd, Menlo Park, CA 94025
help@robinhood.com

09/28/2022
DANE JENKINS Account #:912367513
1860 E 1200 N, Syracuse, IN 46567

| Equities/Options | B/S | Trade Date | Settle Date | Acct Type | Price | QTY | Principal | Comm | Tran Fee | Net Amount | MKT | CAP | U/S |
|---|-----|------------|-------------|-----------|----------|-----|-----------|--------|----------|------------|-----|-----|-----|
| AAPL 09/30/2022 Call \$152.50 | B | 09/28/2022 | 09/29/2022 | M | \$0.5200 | 1 | \$52.00 | \$0.00 | \$0.00 | \$52.00 | OTC | 1 | U |
| AAPL 09/30/2022 Call \$152.50 | S | 09/28/2022 | 09/29/2022 | M | \$0.4800 | 1 | \$48.00 | \$0.00 | \$0.02 | \$47.98 | OTC | 1 | U |
| AAPL 09/30/2022 Call \$155.00 | B | 09/28/2022 | 09/29/2022 | M | \$0.1400 | 2 | \$28.00 | \$0.00 | \$0.00 | \$28.00 | OTC | 1 | U |
| AAPL 09/30/2022 Call \$155.00 | B | 09/28/2022 | 09/29/2022 | M | \$0.1400 | 1 | \$14.00 | \$0.00 | \$0.00 | \$14.00 | OTC | 1 | U |
| AAPL 09/30/2022 Call \$155.00 | B | 09/28/2022 | 09/29/2022 | M | \$0.1400 | 1 | \$14.00 | \$0.00 | \$0.00 | \$14.00 | OTC | 1 | U |
| AAPL 09/30/2022 Call \$155.00 | S | 09/28/2022 | 09/29/2022 | M | \$0.2100 | 1 | \$21.00 | \$0.00 | \$0.02 | \$20.98 | OTC | 1 | U |
| AAPL 09/30/2022 Call \$155.00 | S | 09/28/2022 | 09/29/2022 | M | \$0.2100 | 3 | \$63.00 | \$0.00 | \$0.02 | \$62.98 | OTC | 1 | U |
| NFLX 10/07/2022 Put \$195.00 | B | 09/28/2022 | 09/29/2022 | M | \$0.3600 | 1 | \$36.00 | \$0.00 | \$0.00 | \$36.00 | OTC | 1 | U |
| MMAT Preferred Shares MMTLP CUSIP: 59134N203 | S | 09/28/2022 | 09/30/2022 | M | \$1.5100 | 40 | \$60.40 | \$0.00 | \$0.00 | \$60.40 | OTC | 1 | U |

| | | | |
|-------------------------------|----|------------------------------|----------|
| Total Quantity Bought: | 6 | Total Dollars Bought: | \$144.00 |
| Total Quantity Sold: | 45 | Total Dollars Sold: | \$192.34 |

| | | | |
|---|---|--|-------------|
| Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216 | Tax Information Account 912367513 | Statement Date: 02/11/2022 Document ID: 36VP 1NG P8TP | 2021 |
| | Dane Jenkins 1860 E 1200 N Syracuse, IN 46567 RECIPIENT'S TIN: XXX-XX-3850 | | |

| DIVIDENDS AND DISTRIBUTIONS | | 2021 1099-DIV* | OMB No. 1545-0110 | MISCELLANEOUS INFORMATION | | 2021 1099-MISC* | OMB No. 1545-0115 |
|--|----------------------|----------------|-------------------|---|--|-----------------|-------------------|
| 1a- Total ordinary dividends (includes lines 1b, 5, 2e) | | 0.00 | | 2- Royalties | | 0.00 | |
| 1b- Qualified dividends | | 0.00 | | 3- Other income | | 0.00 | |
| 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) | | 0.00 | | 4- Federal income tax withheld | | 0.00 | |
| 2b- Unrecaptured Section 1250 gain | | 0.00 | | 8- Substitute payments in lieu of dividends or interest | | 0.00 | |
| 2c- Section 1202 gain | | 0.00 | | | | | |
| 2d- Collectibles (28%) gain | | 0.00 | | | | | |
| 2e- Section 897 ordinary dividends | | 0.00 | | | | | |
| 2f- Section 897 capital gain | | 0.00 | | | | | |
| 3- Nondividend distributions | | 0.00 | | | | | |
| 4- Federal income tax withheld | | 0.00 | | | | | |
| 5- Section 199A dividends | | 0.00 | | | | | |
| 6- Investment expenses | | 0.00 | | | | | |
| 8- Foreign country or US possession: | 7- Foreign tax paid: | 0.00 | | | | | |
| 9- Cash liquidation distributions | | 0.00 | | | | | |
| 10- Noncash liquidation distributions | | 0.00 | | | | | |
| 11- Exempt-interest dividends (includes line 12) | | 0.00 | | | | | |
| 12- Specified private activity bond interest dividends (AMT) | | 0.00 | | | | | |

| SECTION 1256 CONTRACTS | | 2021 1099-B* | OMB No. 1545-0715 |
|--|--|--------------|-------------------|
| 8- Profit or (loss) realized in 2021 on closed contracts | | 0.00 | |
| 9- Unrealized profit or (loss) on open contracts-12/31/2020 | | 0.00 | |
| 10- Unrealized profit or (loss) on open contracts-12/31/2021 | | 0.00 | |
| 11- Aggregate profit or (loss) on contracts | | 0.00 | |

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|-----------------------------|--|-------------------|-------------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 374,927.23 | 365,320.45 | 0.00 | 7,656.60 | 17,263.38 |
| Short | B (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | C (Form 1099-B not received) | 7.20 | 4.11 | 0.00 | 0.00 | 3.09 |
| | Total Short-term | 374,934.43 | 365,324.56 | 0.00 | 7,656.60 | 17,266.47 |
| Long | D (basis reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Long-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | B or E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Undetermined-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand total | 374,934.43 | 365,324.56 | 0.00 | 7,656.60 | 17,266.47 |
| Withholding | | Amount | | | | |
| Federal income tax withheld | | 0.00 | | | | |

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 912367513

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| | |
|---|------|
| 1- Interest income (not included in line 3) | 0.00 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| Tax-exempt obligations (covered lots) | 0.00 |
| Tax-exempt private activity obligations (AMT, covered lots) | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|-------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 0.00 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 60.00 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 0.00 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 0.00 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|--|
| BLDP 01/20/2023 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 7 transactions for 01/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 1,479.96 | 01/12/21 | 1,390.00 | ... | 89.96 | 1 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 2,959.93 | 01/12/21 | 2,780.00 | ... | 179.93 | 2 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 2,959.93 | 01/12/21 | 2,780.00 | ... | 179.93 | 3 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 2,959.93 | 01/12/21 | 2,780.00 | ... | 179.93 | 4 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 2,959.93 | 01/12/21 | 2,780.00 | ... | 179.93 | 5 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 2,959.93 | 01/12/21 | 2,780.00 | ... | 179.93 | 6 of 7 - Option sale to close-call ²⁵ |
| | 2.000 | 2,959.93 | 01/12/21 | 2,780.00 | ... | 179.93 | 7 of 7 - Option sale to close-call ²⁵ |
| 01/22/21 | 13.000 | 19,239.54 | Various | 18,070.00 | ... | 1,169.54 | Total of 7 transactions |
| DKNG 01/22/2021 CALL \$49.50 / CUSIP: / Symbol: | | | | | | | |
| 01/19/21 | 1.000 | 245.98 | 01/19/21 | 280.00 | ... | -34.02 | Option sale to close-call ²⁵ |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

| | | | | | | | |
|---|---------|-------------------|--|-------------------|--|------------|--|
| Robinhood Securities LLC | | | | Account 912367513 | | | |
| Proceeds from Broker and Barter Exchange Transactions | | | | | | | |
| 2021 | 1099-B* | OMB No. 1545-0715 | | (continued) | | 02/11/2022 | |

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|--|
| DM 01/21/2022 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 01/19/21 | 1.000 | 749.97 | 01/12/21 | 510.00 | ... | 239.97 | Option sale to close-call ²⁵ |
| VALE 04/16/2021 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 01/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 2.000 | 521.98 | 10/05/20 | 36.00 | ... | 485.98 | 1 of 2 - Option sale to close-call ²⁵ |
| | 28.000 | 7,307.78 | 10/05/20 | 504.00 | ... | 6,803.78 | 2 of 2 - Option sale to close-call ²⁵ |
| 01/12/21 | 30.000 | 7,829.76 | Various | 540.00 | ... | 7,289.76 | Total of 2 transactions |
| WKHS 02/05/2021 CALL \$27.00 / CUSIP: / Symbol: | | | | | | | |
| 01/13/21 | 2.000 | 357.98 | 01/12/21 | 350.00 | ... | 7.98 | Option sale to close-call ²⁵ |
| AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: | | | | | | | |
| 3 transactions for 02/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.717 | 5.25 | 02/08/21 | 4.42 | ... | 0.83 | 1 of 3 - Sale ²⁵ |
| | 0.775 | 5.68 | 02/08/21 | 4.78 | ... | 0.90 | 2 of 3 - Sale ²⁵ |
| | 809.000 | 5,929.73 | 02/08/21 | 4,990.80 | ... | 938.93 | 3 of 3 - Sale ²⁵ |
| 02/23/21 | 810.491 | 5,940.66 | Various | 5,000.00 | ... | 940.66 | Total of 3 transactions |
| BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol: | | | | | | | |
| 02/04/21 | 43.821 | 957.47 | 01/29/21 | 1,000.00 | ... | -42.53 | Sale ²⁵ |
| CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: | | | | | | | |
| 01/12/21 | 10.000 | 147.61 | 01/12/21 | 146.70 | ... | 0.91 | Sale ²⁵ |
| 3 transactions for 01/12/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 3.000 | 44.28 | 01/12/21 | 45.09 | 0.81 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 397.000 | 5,860.10 | 01/12/21 | 5,970.28 | 110.18 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 100.000 | 1,476.09 | 01/12/21 | 1,502.37 | 26.28 W | 0.00 | 3 of 3 - Sale ²⁵ |
| 01/12/21 | 500.000 | 7,380.47 | Various | 7,517.74 | 137.27 W | 0.00 | Total of 3 transactions |
| 15 transactions for 01/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 29.000 | 695.98 | 01/25/21 | 764.10 | ... | -68.12 | 1 of 15 - Sale ²⁵ |
| | 26.000 | 623.98 | 01/25/21 | 685.05 | ... | -61.07 | 2 of 15 - Sale ²⁵ |
| | 12.000 | 288.00 | 01/25/21 | 316.18 | ... | -28.18 | 3 of 15 - Sale ²⁵ |
| | 7.000 | 167.93 | 01/25/21 | 164.70 | ... | 3.23 | 4 of 15 - Sale ²⁵ |
| | 15.000 | 359.84 | 01/25/21 | 352.69 | ... | 7.15 | 5 of 15 - Sale ²⁵ |

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Robinhood Securities LLC

Account: 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|-------------------------------|
| CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd) | | | | | | | |
| | 16.000 | 383.99 | 01/25/21 | 376.45 | ... | 7.54 | 6 of 15 - Sale ²⁵ |
| | 16.000 | 383.83 | 01/25/21 | 376.21 | ... | 7.62 | 7 of 15 - Sale ²⁵ |
| | 19.000 | 455.80 | 01/25/21 | 446.76 | ... | 9.04 | 8 of 15 - Sale ²⁵ |
| | 23.000 | 551.75 | 01/25/21 | 541.15 | ... | 10.60 | 9 of 15 - Sale ²⁵ |
| | 25.000 | 599.73 | 01/25/21 | 588.20 | ... | 11.53 | 10 of 15 - Sale ²⁵ |
| | 29.000 | 695.98 | 01/25/21 | 682.32 | ... | 13.66 | 11 of 15 - Sale ²⁵ |
| | 29.000 | 695.69 | 01/26/21 | 742.96 | ... | -47.27 | 12 of 15 - Sale ²⁵ |
| | 21.000 | 503.77 | 01/26/21 | 538.02 | ... | -34.25 | 13 of 15 - Sale ²⁵ |
| | 47.000 | 1,127.50 | 01/26/21 | 1,131.99 | ... | -4.49 | 14 of 15 - Sale ²⁵ |
| | 3.000 | 71.97 | 01/26/21 | 72.23 | ... | -0.26 | 15 of 15 - Sale ²⁵ |
| 01/26/21 | 317.000 | 7,605.74 | Various | 7,779.01 | ... | -173.27 | Total of 15 transactions |
| 22 transactions for 01/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 33.000 | 791.98 | 01/25/21 | 869.49 | 32.20 W | -45.31 | 1 of 22 - Sale ²⁵ |
| | 3.000 | 72.00 | 01/25/21 | 79.56 | 7.56 W | 0.00 | 2 of 22 - Sale ²⁵ |
| | 168.000 | 4,031.89 | 01/25/21 | 4,456.63 | 424.74 W | 0.00 | 3 of 22 - Sale ²⁵ |
| | 100.000 | 2,399.93 | 01/25/21 | 2,652.75 | 252.82 W | 0.00 | 4 of 22 - Sale ²⁵ |
| | 58.000 | 1,391.96 | 01/25/21 | 1,538.60 | 146.64 W | 0.00 | 5 of 22 - Sale ²⁵ |
| | 71.000 | 1,703.95 | 01/25/21 | 1,883.45 | 179.50 W | 0.00 | 6 of 22 - Sale ²⁵ |
| | 16.000 | 383.99 | 01/25/21 | 424.20 | 40.21 W | 0.00 | 7 of 22 - Sale ²⁵ |
| | 15.000 | 360.00 | 01/25/21 | 397.69 | 37.69 W | 0.00 | 8 of 22 - Sale ²⁵ |
| | 40.000 | 959.97 | 01/25/21 | 1,060.52 | 100.55 W | 0.00 | 9 of 22 - Sale ²⁵ |
| | 29.000 | 695.98 | 01/25/21 | 768.87 | 72.89 W | 0.00 | 10 of 22 - Sale ²⁵ |
| | 3.000 | 72.00 | 01/25/21 | 74.91 | 2.91 W | 0.00 | 11 of 22 - Sale ²⁵ |
| | 48.000 | 1,151.96 | 01/25/21 | 1,198.95 | 46.99 W | 0.00 | 12 of 22 - Sale ²⁵ |
| | 20.000 | 479.99 | 01/25/21 | 499.57 | 19.58 W | 0.00 | 13 of 22 - Sale ²⁵ |
| | 21.000 | 503.98 | 01/25/21 | 524.54 | 20.56 W | 0.00 | 14 of 22 - Sale ²⁵ |
| | 10.000 | 240.00 | 01/25/21 | 249.78 | 9.78 W | 0.00 | 15 of 22 - Sale ²⁵ |
| | 10.000 | 240.00 | 01/25/21 | 249.78 | 9.78 W | 0.00 | 16 of 22 - Sale ²⁵ |
| | 1.000 | 24.00 | 01/25/21 | 24.98 | 0.98 W | 0.00 | 17 of 22 - Sale ²⁵ |
| | 38.000 | 911.97 | 01/25/21 | 949.18 | 37.21 W | 0.00 | 18 of 22 - Sale ²⁵ |
| | 20.000 | 479.99 | 01/25/21 | 499.56 | 19.57 W | 0.00 | 19 of 22 - Sale ²⁵ |
| | 1.000 | 24.00 | 01/25/21 | 24.98 | 0.98 W | 0.00 | 20 of 22 - Sale ²⁵ |
| | 2.000 | 48.00 | 01/25/21 | 49.96 | 1.96 W | 0.00 | 21 of 22 - Sale ²⁵ |

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Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|----------------------|----------------------------|--|---|-------------------------------|
| CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd) | | | | | | | |
| | 26.000 | 623.98 | 01/25/21 | 649.43 | 25.45 W | 0.00 | 22 of 22 - Sale ²⁵ |
| 01/26/21 | 733.000 | 17,591.52 | Various | 19,127.38 | 1,490.55 W | -45.31 | Total of 22 transactions |
| 19 transactions for 02/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 38.000 | 1,260.71 | 02/08/21 | 1,326.34 | ... | -65.63 | 1 of 19 - Sale ²⁵ |
| | 23.387 | 775.89 | 02/08/21 | 816.28 | ... | -40.39 | 2 of 19 - Sale ²⁵ |
| | 21.000 | 696.71 | 02/08/21 | 732.97 | ... | -36.26 | 3 of 19 - Sale ²⁵ |
| | 20.000 | 663.53 | 02/08/21 | 698.07 | ... | -34.54 | 4 of 19 - Sale ²⁵ |
| | 20.000 | 663.53 | 02/08/21 | 698.06 | ... | -34.53 | 5 of 19 - Sale ²⁵ |
| | 10.000 | 331.76 | 02/08/21 | 349.03 | ... | -17.27 | 6 of 19 - Sale ²⁵ |
| | 10.000 | 331.76 | 02/08/21 | 349.03 | ... | -17.27 | 7 of 19 - Sale ²⁵ |
| | 2.000 | 66.35 | 02/08/21 | 69.81 | ... | -3.46 | 8 of 19 - Sale ²⁵ |
| | 1.000 | 33.18 | 02/08/21 | 34.90 | ... | -1.72 | 9 of 19 - Sale ²⁵ |
| | 1.000 | 33.18 | 02/08/21 | 34.90 | ... | -1.72 | 10 of 19 - Sale ²⁵ |
| | 0.333 | 11.05 | 02/08/21 | 11.62 | ... | -0.57 | 11 of 19 - Sale ²⁵ |
| | 0.293 | 9.72 | 02/08/21 | 10.23 | ... | -0.51 | 12 of 19 - Sale ²⁵ |
| | 0.203 | 6.74 | 02/08/21 | 7.08 | ... | -0.34 | 13 of 19 - Sale ²⁵ |
| | 0.171 | 5.66 | 02/08/21 | 5.95 | ... | -0.29 | 14 of 19 - Sale ²⁵ |
| | 0.025 | 0.84 | 02/09/21 | 0.80 | ... | 0.04 | 15 of 19 - Sale ²⁵ |
| | 0.506 | 16.79 | 02/09/21 | 16.70 | ... | 0.09 | 16 of 19 - Sale ²⁵ |
| | 0.297 | 9.86 | 02/09/21 | 9.39 | ... | 0.47 | 17 of 19 - Sale ²⁵ |
| | 13.203 | 438.04 | 02/09/21 | 435.44 | ... | 2.60 | 18 of 19 - Sale ²⁵ |
| | 2.290 | 75.99 | 02/09/21 | 72.41 | ... | 3.58 | 19 of 19 - Sale ²⁵ |
| 02/23/21 | 163.710 | 5,431.29 | Various | 5,679.01 | ... | -247.72 | Total of 19 transactions |
| | Security total: | 38,156.63 | | 40,249.84 | 1,627.82 W | -465.39 | |
| DAQO NEW ENERGY CORP. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING 5 ORDINARY SHARES) / CUSIP: 23703Q203 / Symbol: | | | | | | | |
| 2 transactions for 01/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 43.000 | 3,862.60 | 01/28/21 | 3,986.53 | ... | -123.93 | 1 of 2 - Sale ²⁵ |
| | 10.932 | 982.07 | 01/28/21 | 1,013.47 | ... | -31.40 | 2 of 2 - Sale ²⁵ |
| 01/29/21 | 53.932 | 4,844.67 | Various | 5,000.00 | ... | -155.33 | Total of 2 transactions |

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| | | | | | | | |
|---|---------|-------------------|-------------|-------------------|--|------------|--|
| Robinhood Securities LLC | | | | Account 912367513 | | | |
| Proceeds from Broker and Barter Exchange Transactions | | | | | | | |
| 2021 | 1099-B* | OMB No. 1545-0715 | (continued) | | | 02/11/2022 | |

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|--|---|-------------------|-------------------------|--|---|-------------------------------|
| DESKTOP METAL, INC. / CUSIP: 25058X105 / Symbol: | | | | | | | |
| 01/20/21 | 1,000.000 | 23,384.36 | 01/20/21 | 23,346.80 | ... | 37.56 | Sale ²⁵ |
| | 8 transactions for 02/23/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 68.000 | 1,359.95 | 02/08/21 | 2,343.53 | ... | -983.58 | 1 of 8 - Sale ²⁵ |
| | 68.000 | 1,359.95 | 02/08/21 | 2,343.52 | ... | -983.57 | 2 of 8 - Sale ²⁵ |
| | 25.000 | 500.00 | 02/08/21 | 861.59 | ... | -361.59 | 3 of 8 - Sale ²⁵ |
| | 20.000 | 400.00 | 02/08/21 | 689.28 | ... | -289.28 | 4 of 8 - Sale ²⁵ |
| | 12.000 | 240.00 | 02/08/21 | 413.56 | ... | -173.56 | 5 of 8 - Sale ²⁵ |
| | 4.000 | 80.00 | 02/08/21 | 137.85 | ... | -57.85 | 6 of 8 - Sale ²⁵ |
| | 0.250 | 5.42 | 02/08/21 | 8.63 | ... | -3.21 | 7 of 8 - Sale ²⁵ |
| | 0.059 | 1.19 | 02/08/21 | 2.04 | ... | -0.85 | 8 of 8 - Sale ²⁵ |
| 02/23/21 | 197.310 | 3,946.51 | Various | 6,800.00 | ... | -2,853.49 | Total of 8 transactions |
| | Security total: | 27,330.87 | | 30,146.80 | ... | -2,815.93 | |
| DEVON ENERGY CORPORATION / CUSIP: 25179M103 / Symbol: | | | | | | | |
| | 2 transactions for 01/27/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 126.000 | 2,181.01 | 01/25/21 | 2,142.00 | ... | 39.01 | 1 of 2 - Sale ²⁵ |
| | 174.000 | 3,011.86 | 01/25/21 | 2,958.00 | ... | 53.86 | 2 of 2 - Sale ²⁵ |
| 01/27/21 | 300.000 | 5,192.87 | Various | 5,100.00 | ... | 92.87 | Total of 2 transactions |
| DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: | | | | | | | |
| | 10 transactions for 01/19/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 4.000 | 207.36 | 10/19/20 | 175.28 | ... | 32.08 | 1 of 10 - Sale ²⁵ |
| | 50.000 | 2,592.01 | 10/19/20 | 2,196.29 | ... | 395.72 | 2 of 10 - Sale ²⁵ |
| | 55.000 | 2,851.21 | 10/19/20 | 2,402.42 | ... | 448.79 | 3 of 10 - Sale ²⁵ |
| | 0.400 | 20.74 | 10/26/20 | 15.94 | ... | 4.80 | 4 of 10 - Sale ²⁵ |
| | 3.000 | 155.52 | 10/26/20 | 119.61 | ... | 35.91 | 5 of 10 - Sale ²⁵ |
| | 200.000 | 10,368.02 | 10/30/20 | 7,500.02 | ... | 2,868.00 | 6 of 10 - Sale ²⁵ |
| | 0.600 | 31.10 | 11/04/20 | 23.53 | ... | 7.57 | 7 of 10 - Sale ²⁵ |
| | 2.000 | 103.68 | 11/04/20 | 78.56 | ... | 25.12 | 8 of 10 - Sale ²⁵ |
| | 5.000 | 259.20 | 11/04/20 | 196.45 | ... | 62.75 | 9 of 10 - Sale ²⁵ |
| | 160.000 | 8,294.42 | 11/04/20 | 6,188.80 | ... | 2,105.62 | 10 of 10 - Sale ²⁵ |
| 01/19/21 | 480.000 | 24,883.26 | Various | 18,896.90 | ... | 5,986.36 | Total of 10 transactions |

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| | |
|--|-------------------|
| Robinhood Securities LLC | Account 912367513 |
| Proceeds from Broker and Barter Exchange Transactions | |
| 2021 1099-B* OMB No. 1545-0715 | 02/11/2022 |
| (continued) | |

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|-------------------------------|
| DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd) | | | | | | | |
| 3 transactions for 01/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| 01/19/21 | 50.000 | 2,592.01 | 10/07/20 | 2,642.00 | 49.99 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 5.000 | 259.20 | 10/07/20 | 264.16 | 4.96 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 10.000 | 518.40 | 10/08/20 | 523.34 | 4.94 W | 0.00 | 3 of 3 - Sale ²⁵ |
| | 65.000 | 3,369.61 | Various | 3,429.50 | 59.89 W | 0.00 | Total of 3 transactions |
| 4 transactions for 02/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| 02/23/21 | 50.000 | 2,898.68 | 02/08/21 | 3,086.49 | ... | -187.81 | 1 of 4 - Sale ²⁵ |
| | 17.332 | 1,004.78 | 02/08/21 | 1,052.55 | ... | -47.77 | 2 of 4 - Sale ²⁵ |
| | 10.000 | 579.73 | 02/08/21 | 612.24 | ... | -32.51 | 3 of 4 - Sale ²⁵ |
| | 5.000 | 289.87 | 02/08/21 | 308.61 | ... | -18.74 | 4 of 4 - Sale ²⁵ |
| | 82.332 | 4,773.06 | Various | 5,059.89 | ... | -286.83 | Total of 4 transactions |
| Security total: | | 33,025.93 | | 27,386.29 | 59.89 W | 5,699.53 | |
| EMX ROYALTY CORPORATION / CUSIP: 26873J107 / Symbol: | | | | | | | |
| 12 transactions for 01/21/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| 01/21/21 | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 1 of 12 - Sale ²⁵ |
| | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 2 of 12 - Sale ²⁵ |
| | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 3 of 12 - Sale ²⁵ |
| | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 4 of 12 - Sale ²⁵ |
| | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 5 of 12 - Sale ²⁵ |
| | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 6 of 12 - Sale ²⁵ |
| | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 7 of 12 - Sale ²⁵ |
| | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 8 of 12 - Sale ²⁵ |
| | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 9 of 12 - Sale ²⁵ |
| | 100.000 | 350.06 | 01/20/21 | 341.00 | ... | 9.06 | 10 of 12 - Sale ²⁵ |
| | 200.000 | 700.12 | 01/20/21 | 681.88 | ... | 18.24 | 11 of 12 - Sale ²⁵ |
| | 300.000 | 1,050.18 | 01/20/21 | 1,022.82 | ... | 27.36 | 12 of 12 - Sale ²⁵ |
| 1,500.000 | | 5,250.90 | Various | 5,114.70 | ... | 136.20 | Total of 12 transactions |
| FRANCO-NEVADA CORPORATION / CUSIP: 351858105 / Symbol: | | | | | | | |
| 3 transactions for 02/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7.796 | 927.56 | 01/29/21 | 950.45 | ... | -22.89 | 1 of 3 - Sale ²⁵ |
| | 0.203 | 24.12 | 01/29/21 | 25.30 | ... | -1.18 | 2 of 3 - Sale ²⁵ |

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Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|--|---|-------------------|-------------------------|--|---|--|
| FRANCO-NEVADA CORPORATION / CUSIP: 351858105 / Symbol: (cont'd) | | | | | | | |
| | 0.001 | 0.13 | 01/29/21 | 0.13 | ... | 0.00 | 3 of 3 - Sale ²⁵ |
| 02/04/21 | 8.000 | 951.81 | Various | 975.88 | ... | -24.07 | Total of 3 transactions |
| 02/04/21 | 0.203 | 24.12 | 01/29/21 | 24.71 | 0.59 W | 0.00 | Sale ²⁵ |
| | Security total: | 975.93 | | 1,000.59 | 0.59 W | -24.07 | |
| FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: | | | | | | | |
| 01/22/21 | 1,000.000 | 17,074.50 | 01/20/21 | 16,960.00 | ... | 114.50 | Sale ²⁵ |
| GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: | | | | | | | |
| 02/01/21 | 2.000 | 549.98 | 02/01/21 | 546.00 | ... | 3.98 | Sale ²⁵ |
| | 10 transactions for 02/05/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 45.000 | 3,389.05 | 02/05/21 | 3,527.12 | ... | -138.07 | 1 of 10 - Sale ²⁵ |
| | 35.000 | 2,635.92 | 02/05/21 | 2,743.31 | ... | -107.39 | 2 of 10 - Sale ²⁵ |
| | 0.817 | 68.81 | 02/05/21 | 67.60 | ... | 1.21 | 3 of 10 - Sale ²⁵ |
| | 1.000 | 85.00 | 02/05/21 | 82.77 | ... | 2.23 | 4 of 10 - Sale ²⁵ |
| | 6.000 | 509.98 | 02/05/21 | 496.62 | ... | 13.36 | 5 of 10 - Sale ²⁵ |
| | 10.000 | 849.98 | 02/05/21 | 827.70 | ... | 22.28 | 6 of 10 - Sale ²⁵ |
| | 12.000 | 1,019.97 | 02/05/21 | 993.24 | ... | 26.73 | 7 of 10 - Sale ²⁵ |
| | 20.000 | 1,699.96 | 02/05/21 | 1,655.40 | ... | 44.56 | 8 of 10 - Sale ²⁵ |
| | 34.000 | 2,889.93 | 02/05/21 | 2,814.18 | ... | 75.75 | 9 of 10 - Sale ²⁵ |
| | 37.000 | 3,144.93 | 02/05/21 | 3,062.49 | ... | 82.44 | 10 of 10 - Sale ²⁵ |
| 02/05/21 | 200.817 | 16,293.53 | Various | 16,270.43 | ... | 23.10 | Total of 10 transactions |
| 02/05/21 | 80.000 | 5,435.31 | 02/05/21 | 5,945.74 | 510.43 W | 0.00 | Sale ²⁵ |
| | Security total: | 22,278.82 | | 22,762.17 | 510.43 W | 27.08 | |
| INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol: | | | | | | | |
| 01/28/21 | 200.000 | 11,248.73 | 01/25/21 | 11,000.00 | ... | 248.73 | Sale ²⁵ |
| ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL | | | | | | | |
| | 2 transactions for 02/04/21. Total proceeds and cost reported to the IRS. | | | | | | |
| | 39.000 | 942.99 | 01/29/21 | 983.12 | ... | -40.13 | 1 of 2 - Sale ²⁵ |
| | 0.670 | 16.20 | 01/29/21 | 16.88 | ... | -0.68 | 2 of 2 - Sale ²⁵ |
| 02/04/21 | 39.670 | 959.19 | Various | 1,000.00 | ... | -40.81 | 3- Proceeds from collectibles [X] Total of 2 transactions |

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Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|-----------|---|----------------------|----------------------------|--|---|-------------------------------|
| META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol: | | | | | | | |
| 30 transactions for 06/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| 1.000 | | 7.99 | 02/24/21 | 5.64 | ... | 2.35 | 1 of 30 - Sale ²⁵ |
| 4.500 | | 35.95 | 02/24/21 | 25.38 | ... | 10.57 | 2 of 30 - Sale ²⁵ |
| 5.000 | | 39.95 | 02/24/21 | 28.20 | ... | 11.75 | 3 of 30 - Sale ²⁵ |
| 5.000 | | 39.95 | 02/24/21 | 28.20 | ... | 11.75 | 4 of 30 - Sale ²⁵ |
| 5.000 | | 39.95 | 02/24/21 | 28.20 | ... | 11.75 | 5 of 30 - Sale ²⁵ |
| 5.000 | | 39.95 | 02/24/21 | 28.20 | ... | 11.75 | 6 of 30 - Sale ²⁵ |
| 9.000 | | 71.91 | 02/24/21 | 50.77 | ... | 21.14 | 7 of 30 - Sale ²⁵ |
| 10.000 | | 79.90 | 02/24/21 | 56.41 | ... | 23.49 | 8 of 30 - Sale ²⁵ |
| 15.000 | | 119.85 | 02/24/21 | 84.61 | ... | 35.24 | 9 of 30 - Sale ²⁵ |
| 19.000 | | 151.81 | 02/24/21 | 107.18 | ... | 44.63 | 10 of 30 - Sale ²⁵ |
| 20.000 | | 159.80 | 02/24/21 | 112.82 | ... | 46.98 | 11 of 30 - Sale ²⁵ |
| 22.000 | | 175.78 | 02/24/21 | 124.10 | ... | 51.68 | 12 of 30 - Sale ²⁵ |
| 25.000 | | 199.75 | 02/24/21 | 141.52 | ... | 58.23 | 13 of 30 - Sale ²⁵ |
| 31.000 | | 247.68 | 02/24/21 | 174.87 | ... | 72.81 | 14 of 30 - Sale ²⁵ |
| 35.000 | | 279.65 | 02/24/21 | 197.43 | ... | 82.22 | 15 of 30 - Sale ²⁵ |
| 41.000 | | 327.58 | 02/24/21 | 231.28 | ... | 96.30 | 16 of 30 - Sale ²⁵ |
| 44.000 | | 351.55 | 02/24/21 | 248.21 | ... | 103.34 | 17 of 30 - Sale ²⁵ |
| 45.500 | | 363.54 | 02/24/21 | 256.67 | ... | 106.87 | 18 of 30 - Sale ²⁵ |
| 50.000 | | 399.49 | 02/24/21 | 283.04 | ... | 116.45 | 19 of 30 - Sale ²⁵ |
| 50.000 | | 399.49 | 02/24/21 | 282.54 | ... | 116.95 | 20 of 30 - Sale ²⁵ |
| 50.000 | | 399.49 | 02/24/21 | 282.05 | ... | 117.44 | 21 of 30 - Sale ²⁵ |
| 50.000 | | 399.49 | 02/24/21 | 282.05 | ... | 117.44 | 22 of 30 - Sale ²⁵ |
| 52.000 | | 415.47 | 02/24/21 | 293.84 | ... | 121.63 | 23 of 30 - Sale ²⁵ |
| 100.000 | | 798.99 | 02/24/21 | 564.10 | ... | 234.89 | 24 of 30 - Sale ²⁵ |
| 100.000 | | 798.98 | 02/24/21 | 564.09 | ... | 234.89 | 25 of 30 - Sale ²⁵ |
| 107.500 | | 858.91 | 02/24/21 | 606.36 | ... | 252.55 | 26 of 30 - Sale ²⁵ |
| 218.000 | | 1,741.78 | 02/24/21 | 1,229.72 | ... | 512.06 | 27 of 30 - Sale ²⁵ |
| 341.500 | | 2,728.53 | 02/24/21 | 1,926.18 | ... | 802.35 | 28 of 30 - Sale ²⁵ |
| 450.000 | | 3,595.43 | 02/24/21 | 2,538.16 | ... | 1,057.27 | 29 of 30 - Sale ²⁵ |
| 587.000 | | 4,690.04 | 02/24/21 | 3,322.84 | ... | 1,367.20 | 30 of 30 - Sale ²⁵ |
| 06/28/21 | 2,498.000 | 19,958.63 | Various | 14,104.66 | ... | 5,853.97 | Total of 30 transactions |

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Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|-----------------|---|----------------------|----------------------------|--|---|-------------------------------|
| META MATERIALS INC. COMMON STOCK / CUSIP: 59134N104 / Symbol: (cont'd) | | | | | | | |
| 3 transactions for 06/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| 06/28/21 | 175.000 | 1,398.22 | 06/22/21 | 2,829.75 | 1,431.53 W | 0.00 | 1 of 3 - Sale ²⁵ |
| | 75.000 | 599.24 | 06/22/21 | 1,212.75 | 613.51 W | 0.00 | 2 of 3 - Sale ²⁵ |
| | 25.000 | 199.74 | 06/22/21 | 377.25 | 177.51 W | 0.00 | 3 of 3 - Sale ²⁵ |
| | 275.000 | 2,197.20 | Various | 4,419.75 | 2,222.55 W | 0.00 | Total of 3 transactions |
| 11 transactions for 09/28/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| 09/28/21 | 174.985 | 1,065.81 | 06/30/21 | 2,568.81 | ... | -1,503.00 | 1 of 11 - Sale ²⁵ |
| | 75.000 | 456.81 | 06/30/21 | 1,101.01 | ... | -644.20 | 2 of 11 - Sale ²⁵ |
| | 25.000 | 152.27 | 06/30/21 | 340.01 | ... | -187.74 | 3 of 11 - Sale ²⁵ |
| | 300.000 | 1,827.25 | 06/30/21 | 1,950.00 | ... | -122.75 | 4 of 11 - Sale ²⁵ |
| | 200.000 | 1,218.17 | 06/30/21 | 1,300.00 | ... | -81.83 | 5 of 11 - Sale ²⁵ |
| | 108.015 | 657.90 | 06/30/21 | 702.10 | ... | -44.20 | 6 of 11 - Sale ²⁵ |
| | 91.985 | 560.27 | 06/30/21 | 597.90 | ... | -37.63 | 7 of 11 - Sale ²⁵ |
| | 25.000 | 152.27 | 06/30/21 | 162.50 | ... | -10.23 | 8 of 11 - Sale ²⁵ |
| | 0.015 | 0.09 | 06/30/21 | 0.22 | ... | -0.13 | 9 of 11 - Sale ²⁵ |
| | 0.015 | 0.09 | 07/12/21 | 0.06 | ... | 0.03 | 10 of 11 - Sale ²⁵ |
| | 227.000 | 1,382.62 | 07/12/21 | 999.94 | ... | 382.68 | 11 of 11 - Sale ²⁵ |
| | 1,227.015 | 7,473.55 | Various | 9,722.55 | ... | -2,249.00 | Total of 11 transactions |
| | Security total: | | 29,629.38 | | 28,246.96 | 2,222.55 W | 3,604.97 |
| NIO INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: | | | | | | | |
| 2 transactions for 02/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| 02/23/21 | 135.754 | 6,198.38 | 02/08/21 | 7,999.86 | ... | -1,801.48 | 1 of 2 - Sale ²⁵ |
| | 0.002 | 0.11 | 02/08/21 | 0.14 | ... | -0.03 | 2 of 2 - Sale ²⁵ |
| | 135.757 | 6,198.49 | Various | 8,000.00 | ... | -1,801.51 | Total of 2 transactions |
| ORBITAL ENERGY GROUP, INC. COMMON STOCK / CUSIP: 68559A109 / Symbol: | | | | | | | |
| 7 transactions for 01/26/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 35.000 | 369.24 | 01/25/21 | 245.00 | ... | 124.24 | 1 of 7 - Sale ²⁵ |
| | 36.000 | 379.79 | 01/25/21 | 252.00 | ... | 127.79 | 2 of 7 - Sale ²⁵ |
| | 74.000 | 780.67 | 01/25/21 | 518.00 | ... | 262.67 | 3 of 7 - Sale ²⁵ |
| | 75.000 | 791.22 | 01/25/21 | 525.00 | ... | 266.22 | 4 of 7 - Sale ²⁵ |
| | 200.000 | 2,109.93 | 01/25/21 | 1,400.00 | ... | 709.93 | 5 of 7 - Sale ²⁵ |

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| | | | |
|---|---------|-------------------|------------------------|
| Robinhood Securities LLC | | | Account 912367513 |
| Proceeds from Broker and Barter Exchange Transactions | | | |
| 2021 | 1099-B* | OMB No. 1545-0715 | (continued) 02/11/2022 |

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|-----------------|---|-------------------|-------------------------|--|---|------------------------------|
| ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd) | | | | | | | |
| | 270.000 | 2,848.40 | 01/25/21 | 1,890.00 | ... | 958.40 | 6 of 7 - Sale ²⁵ |
| | 310.000 | 3,270.39 | 01/25/21 | 2,170.00 | ... | 1,100.39 | 7 of 7 - Sale ²⁵ |
| 01/26/21 | 1,000.000 | 10,549.64 | Various | 7,000.00 | ... | 3,549.64 | Total of 7 transactions |
| 2 transactions for 02/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 586.510 | 3,436.91 | 02/08/21 | 4,997.07 | ... | -1,560.16 | 1 of 2 - Sale ²⁵ |
| | 0.344 | 2.02 | 02/08/21 | 2.93 | ... | -0.91 | 2 of 2 - Sale ²⁵ |
| 02/23/21 | 586.854 | 3,438.93 | Various | 5,000.00 | ... | -1,561.07 | Total of 2 transactions |
| | Security total: | 13,988.57 | | 12,000.00 | ... | 1,988.57 | |
| SILVERCORP METALS INC. COMMON SHARES / CUSIP: 82835P103 / Symbol: | | | | | | | |
| 2 transactions for 02/04/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 143.000 | 883.90 | 01/29/21 | 942.36 | ... | -58.46 | 1 of 2 - Sale ²⁵ |
| | 8.747 | 54.06 | 01/29/21 | 57.64 | ... | -3.58 | 2 of 2 - Sale ²⁵ |
| 02/04/21 | 151.747 | 937.96 | Various | 1,000.00 | ... | -62.04 | Total of 2 transactions |
| TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol: | | | | | | | |
| 9 transactions for 02/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 4,140.000 | 12,585.25 | 02/24/21 | 12,627.00 | ... | -41.75 | 1 of 9 - Sale ²⁵ |
| | 100.000 | 303.99 | 02/24/21 | 306.03 | ... | -2.04 | 2 of 9 - Sale ²⁵ |
| | 98.000 | 297.91 | 02/24/21 | 299.93 | ... | -2.02 | 3 of 9 - Sale ²⁵ |
| | 48.000 | 145.92 | 02/24/21 | 146.90 | ... | -0.98 | 4 of 9 - Sale ²⁵ |
| | 42.000 | 127.68 | 02/24/21 | 128.54 | ... | -0.86 | 5 of 9 - Sale ²⁵ |
| | 12.000 | 36.48 | 02/24/21 | 36.84 | ... | -0.36 | 6 of 9 - Sale ²⁵ |
| | 2.000 | 6.08 | 02/24/21 | 6.08 | ... | 0.00 | 7 of 9 - Sale ²⁵ |
| | 2.000 | 6.08 | 02/24/21 | 6.08 | ... | 0.00 | 8 of 9 - Sale ²⁵ |
| | 200.000 | 608.96 | 02/24/21 | 608.00 | ... | 0.96 | 9 of 9 - Sale ²⁵ |
| 02/24/21 | 4,644.000 | 14,118.35 | Various | 14,165.40 | ... | -47.05 | Total of 9 transactions |
| 43 transactions for 02/24/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1,284.000 | 3,903.25 | 02/24/21 | 3,929.52 | 25.04 W | -1.23 | 1 of 43 - Sale ²⁵ |
| | 1,790.000 | 5,441.26 | 02/24/21 | 5,441.60 | 0.34 W | 0.00 | 2 of 43 - Sale ²⁵ |
| | 98.000 | 297.91 | 02/24/21 | 297.95 | 0.04 W | 0.00 | 3 of 43 - Sale ²⁵ |
| | 100.000 | 303.98 | 02/24/21 | 304.02 | 0.04 W | 0.00 | 4 of 43 - Sale ²⁵ |
| | 100.000 | 303.98 | 02/24/21 | 304.02 | 0.04 W | 0.00 | 5 of 43 - Sale ²⁵ |

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Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|-----------|---|----------------------|----------------------------|--|---|-------------------------------|
| TORCHLIGHT ENERGY RESOURCES, INC. COMMON STOCK / CUSIP: 89102U103 / Symbol: (cont'd) | | | | | | | |
| | 100.000 | 303.98 | 02/24/21 | 304.02 | 0.04 W | 0.00 | 6 of 43 - Sale ²⁵ |
| | 200.000 | 607.96 | 02/24/21 | 608.04 | 0.08 W | 0.00 | 7 of 43 - Sale ²⁵ |
| | 500.000 | 1,519.91 | 02/24/21 | 1,520.09 | 0.18 W | 0.00 | 8 of 43 - Sale ²⁵ |
| | 600.000 | 1,823.89 | 02/24/21 | 1,824.11 | 0.22 W | 0.00 | 9 of 43 - Sale ²⁵ |
| | 898.000 | 2,729.75 | 02/24/21 | 2,729.92 | 0.17 W | 0.00 | 10 of 43 - Sale ²⁵ |
| | 912.000 | 2,772.31 | 02/24/21 | 2,772.48 | 0.17 W | 0.00 | 11 of 43 - Sale ²⁵ |
| | 104.000 | 316.14 | 02/24/21 | 316.70 | 0.56 W | 0.00 | 12 of 43 - Sale ²⁵ |
| | 100.000 | 303.98 | 02/24/21 | 304.52 | 0.54 W | 0.00 | 13 of 43 - Sale ²⁵ |
| | 100.000 | 303.98 | 02/24/21 | 305.02 | 1.04 W | 0.00 | 14 of 43 - Sale ²⁵ |
| | 1,284.000 | 3,903.12 | 02/24/21 | 3,916.44 | 13.32 W | 0.00 | 15 of 43 - Sale ²⁵ |
| | 60.000 | 182.39 | 02/24/21 | 183.01 | 0.62 W | 0.00 | 16 of 43 - Sale ²⁵ |
| | 100.000 | 303.99 | 02/24/21 | 304.00 | 0.01 W | 0.00 | 17 of 43 - Sale ²⁵ |
| | 100.000 | 303.99 | 02/24/21 | 304.00 | 0.01 W | 0.00 | 18 of 43 - Sale ²⁵ |
| | 100.000 | 303.98 | 02/24/21 | 304.01 | 0.03 W | 0.00 | 19 of 43 - Sale ²⁵ |
| | 100.000 | 303.98 | 02/24/21 | 304.01 | 0.03 W | 0.00 | 20 of 43 - Sale ²⁵ |
| | 100.000 | 303.98 | 02/24/21 | 304.00 | 0.02 W | 0.00 | 21 of 43 - Sale ²⁵ |
| | 200.000 | 607.96 | 02/24/21 | 608.00 | 0.04 W | 0.00 | 22 of 43 - Sale ²⁵ |
| | 100.000 | 303.98 | 02/24/21 | 304.00 | 0.02 W | 0.00 | 23 of 43 - Sale ²⁵ |
| | 500.000 | 1,519.91 | 02/24/21 | 1,520.00 | 0.09 W | 0.00 | 24 of 43 - Sale ²⁵ |
| | 600.000 | 1,823.89 | 02/24/21 | 1,824.00 | 0.11 W | 0.00 | 25 of 43 - Sale ²⁵ |
| | 100.000 | 303.98 | 02/24/21 | 304.00 | 0.02 W | 0.00 | 26 of 43 - Sale ²⁵ |
| | 98.000 | 297.91 | 02/24/21 | 297.95 | 0.04 W | 0.00 | 27 of 43 - Sale ²⁵ |
| | 100.000 | 303.99 | 02/24/21 | 305.02 | 1.03 W | 0.00 | 28 of 43 - Sale ²⁵ |
| | 12.000 | 36.48 | 02/24/21 | 36.72 | 0.24 W | 0.00 | 29 of 43 - Sale ²⁵ |
| | 98.000 | 297.91 | 02/24/21 | 298.94 | 1.03 W | 0.00 | 30 of 43 - Sale ²⁵ |
| | 42.000 | 127.67 | 02/24/21 | 128.11 | 0.44 W | 0.00 | 31 of 43 - Sale ²⁵ |
| | 86.000 | 261.43 | 02/24/21 | 262.34 | 0.91 W | 0.00 | 32 of 43 - Sale ²⁵ |
| | 88.000 | 267.51 | 02/24/21 | 268.44 | 0.93 W | 0.00 | 33 of 43 - Sale ²⁵ |
| | 100.000 | 303.99 | 02/24/21 | 305.04 | 1.05 W | 0.00 | 34 of 43 - Sale ²⁵ |
| | 100.000 | 303.99 | 02/24/21 | 305.04 | 1.05 W | 0.00 | 35 of 43 - Sale ²⁵ |
| | 100.000 | 303.99 | 02/24/21 | 305.54 | 1.55 W | 0.00 | 36 of 43 - Sale ²⁵ |
| | 100.000 | 303.99 | 02/24/21 | 306.04 | 2.05 W | 0.00 | 37 of 43 - Sale ²⁵ |
| | 104.000 | 316.15 | 02/24/21 | 317.76 | 1.61 W | 0.00 | 38 of 43 - Sale ²⁵ |
| | 200.000 | 607.98 | 02/24/21 | 610.08 | 2.10 W | 0.00 | 39 of 43 - Sale ²⁵ |

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Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|-------------------------------|
| TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol: (cont'd) | | | | | | | |
| | 500.000 | 1,519.96 | 02/24/21 | 1,525.18 | 5.22 W | 0.00 | 40 of 43 - Sale ²⁵ |
| | 600.000 | 1,823.95 | 02/24/21 | 1,830.22 | 6.27 W | 0.00 | 41 of 43 - Sale ²⁵ |
| | 898.000 | 2,729.84 | 02/24/21 | 2,739.07 | 9.23 W | 0.00 | 42 of 43 - Sale ²⁵ |
| | 900.000 | 2,735.92 | 02/24/21 | 2,745.17 | 9.25 W | 0.00 | 43 of 43 - Sale ²⁵ |
| 02/24/21 | 14,356.000 | 43,640.09 | Various | 43,728.14 | 86.82 W | -1.23 | Total of 43 transactions |
| 03/26/21 | 2.545 | 5.00 | 02/24/21 | 7.18 | ... | -2.18 | Sale ²⁵ |
| | Security total: | 57,763.44 | | 57,900.72 | 86.82 W | -50.46 | |
| WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: | | | | | | | |
| 4 transactions for 01/19/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 200.000 | 4,690.33 | 11/04/20 | 3,701.96 | ... | 988.37 | 1 of 4 - Sale ²⁵ |
| | 800.000 | 18,761.33 | 11/04/20 | 14,792.00 | ... | 3,969.33 | 2 of 4 - Sale ²⁵ |
| | 10.000 | 234.51 | 12/17/20 | 210.69 | ... | 23.82 | 3 of 4 - Sale ²⁵ |
| | 100.000 | 2,345.17 | 12/17/20 | 2,106.00 | ... | 239.17 | 4 of 4 - Sale ²⁵ |
| 01/19/21 | 1,110.000 | 26,031.34 | Various | 20,810.65 | ... | 5,220.69 | Total of 4 transactions |
| 02/23/21 | 0.285 | 7.67 | 02/08/21 | 11.11 | ... | -3.44 | Sale ²⁵ |
| 4 transactions for 02/23/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 0.568 | 15.24 | 02/08/21 | 22.08 | 3.44 W | -3.40 | 1 of 4 - Sale ²⁵ |
| | 127.628 | 3,420.29 | 02/08/21 | 4,966.81 | 1,546.52 W | 0.00 | 2 of 4 - Sale ²⁵ |
| | 0.285 | 7.65 | 02/08/21 | 14.65 | 7.00 W | 0.00 | 3 of 4 - Sale ²⁵ |
| | 127.087 | 3,405.79 | 02/08/21 | 4,988.79 | 1,583.00 W | 0.00 | 4 of 4 - Sale ²⁵ |
| 02/23/21 | 255.568 | 6,848.97 | Various | 9,992.33 | 3,139.96 W | -3.40 | Total of 4 transactions |
| 6 transactions for 05/11/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 123.000 | 988.91 | 03/04/21 | 3,209.99 | ... | -2,221.08 | 1 of 6 - Sale ²⁵ |
| | 0.285 | 2.30 | 03/04/21 | 10.99 | ... | -8.69 | 2 of 6 - Sale ²⁵ |
| | 0.461 | 3.70 | 03/04/21 | 12.02 | ... | -8.32 | 3 of 6 - Sale ²⁵ |
| | 0.301 | 2.42 | 03/04/21 | 7.85 | ... | -5.43 | 4 of 6 - Sale ²⁵ |
| | 0.254 | 2.04 | 03/04/21 | 6.62 | ... | -4.58 | 5 of 6 - Sale ²⁵ |
| | 0.076 | 0.59 | 03/04/21 | 1.98 | ... | -1.39 | 6 of 6 - Sale ²⁵ |
| 05/11/21 | 124.377 | 999.96 | Various | 3,249.45 | ... | -2,249.49 | Total of 6 transactions |
| 4 transactions for 06/22/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 127.087 | 1,784.91 | 03/04/21 | 3,359.68 | ... | -1,574.77 | 1 of 4 - Sale ²⁵ |
| | 3.525 | 49.50 | 03/04/21 | 91.98 | ... | -42.48 | 2 of 4 - Sale ²⁵ |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|-----------------------------|
| WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd) | | | | | | | |
| | 0.012 | 0.17 | 03/04/21 | 0.31 | ... | -0.14 | 3 of 4 - Sale ²⁵ |
| | 209.389 | 2,940.82 | 03/04/21 | 2,927.25 | ... | 13.57 | 4 of 4 - Sale ²⁵ |
| 06/22/21 | 340.012 | 4,775.40 | Various | 6,379.22 | ... | -1,603.82 | Total of 4 transactions |
| Security total: | | 38,663.34 | | 40,442.76 | 3,139.96 W | 1,360.54 | |

COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol:

14 transactions for 01/12/21. Total proceeds and cost reported to the IRS.

| | | | | | | | |
|----------|------------|----------|----------|----------|-----|-------|-------------------------------|
| | 30.000 | 21.02 | 12/18/20 | 15.54 | ... | 5.48 | 1 of 14 - Sale ²⁵ |
| | 100.000 | 70.02 | 01/11/21 | 69.82 | ... | 0.20 | 2 of 14 - Sale ²⁵ |
| | 100.000 | 70.02 | 01/11/21 | 69.82 | ... | 0.20 | 3 of 14 - Sale ²⁵ |
| | 100.000 | 70.02 | 01/11/21 | 69.82 | ... | 0.20 | 4 of 14 - Sale ²⁵ |
| | 200.000 | 140.03 | 01/11/21 | 139.64 | ... | 0.39 | 5 of 14 - Sale ²⁵ |
| | 200.000 | 140.03 | 01/11/21 | 139.64 | ... | 0.39 | 6 of 14 - Sale ²⁵ |
| | 200.000 | 140.03 | 01/11/21 | 139.64 | ... | 0.39 | 7 of 14 - Sale ²⁵ |
| | 230.000 | 161.04 | 01/11/21 | 160.64 | ... | 0.40 | 8 of 14 - Sale ²⁵ |
| | 350.000 | 245.09 | 01/11/21 | 244.38 | ... | 0.71 | 9 of 14 - Sale ²⁵ |
| | 400.000 | 280.07 | 01/11/21 | 279.29 | ... | 0.78 | 10 of 14 - Sale ²⁵ |
| | 500.000 | 350.08 | 01/11/21 | 347.55 | ... | 2.53 | 11 of 14 - Sale ²⁵ |
| | 400.000 | 280.07 | 01/11/21 | 277.30 | ... | 2.77 | 12 of 14 - Sale ²⁵ |
| | 11,000.000 | 7,701.82 | 01/11/21 | 7,647.20 | ... | 54.62 | 13 of 14 - Sale ²⁵ |
| | 100.000 | 70.01 | 01/12/21 | 68.50 | ... | 1.51 | 14 of 14 - Sale ²⁵ |
| 01/12/21 | 13,910.000 | 9,739.35 | Various | 9,668.78 | ... | 70.57 | Total of 14 transactions |

14 transactions for 01/12/21. Total proceeds and cost reported to the IRS.

| | | | | | | | |
|--|---------|--------|----------|--------|--------|------|-------------------------------|
| | 70.000 | 49.03 | 01/11/21 | 49.25 | 0.22 W | 0.00 | 1 of 14 - Sale ²⁵ |
| | 400.000 | 280.19 | 01/11/21 | 281.40 | 1.21 W | 0.00 | 2 of 14 - Sale ²⁵ |
| | 100.000 | 70.05 | 01/11/21 | 70.35 | 0.30 W | 0.00 | 3 of 14 - Sale ²⁵ |
| | 100.000 | 70.05 | 01/11/21 | 70.35 | 0.30 W | 0.00 | 4 of 14 - Sale ²⁵ |
| | 100.000 | 70.05 | 01/11/21 | 70.35 | 0.30 W | 0.00 | 5 of 14 - Sale ²⁵ |
| | 400.000 | 280.19 | 01/11/21 | 281.40 | 1.21 W | 0.00 | 6 of 14 - Sale ²⁵ |
| | 200.000 | 140.10 | 01/11/21 | 140.70 | 0.60 W | 0.00 | 7 of 14 - Sale ²⁵ |
| | 200.000 | 140.10 | 01/11/21 | 140.70 | 0.60 W | 0.00 | 8 of 14 - Sale ²⁵ |
| | 200.000 | 140.10 | 01/11/21 | 140.70 | 0.60 W | 0.00 | 9 of 14 - Sale ²⁵ |
| | 230.000 | 161.06 | 01/11/21 | 161.80 | 0.74 W | 0.00 | 10 of 14 - Sale ²⁵ |
| | 50.000 | 35.01 | 01/11/21 | 35.65 | 0.64 W | 0.00 | 11 of 14 - Sale ²⁵ |

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Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|----------------------|----------------------------|--|---|-------------------------------|
| COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol: (cont'd) | | | | | | | |
| | 70.000 | 49.02 | 01/11/21 | 49.91 | 0.89 W | 0.00 | 12 of 14 - Sale ²⁵ |
| | 50.000 | 35.01 | 01/11/21 | 35.40 | 0.39 W | 0.00 | 13 of 14 - Sale ²⁵ |
| | 70.000 | 49.01 | 01/11/21 | 49.55 | 0.54 W | 0.00 | 14 of 14 - Sale ²⁵ |
| 01/12/21 | 2,240.000 | 1,568.97 | Various | 1,577.51 | 8.54 W | 0.00 | Total of 14 transactions |
| 10 transactions for 01/29/21. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 7,000.000 | 5,746.74 | 01/25/21 | 6,055.00 | ... | -308.26 | 1 of 10 - Sale ²⁵ |
| | 2,800.000 | 2,298.69 | 01/25/21 | 2,422.00 | ... | -123.31 | 2 of 10 - Sale ²⁵ |
| | 70.000 | 57.47 | 01/25/21 | 61.09 | ... | -3.62 | 3 of 10 - Sale ²⁵ |
| | 80.000 | 65.68 | 01/25/21 | 69.20 | ... | -3.52 | 4 of 10 - Sale ²⁵ |
| | 50.000 | 41.05 | 01/25/21 | 43.64 | ... | -2.59 | 5 of 10 - Sale ²⁵ |
| | 4,000.000 | 3,283.85 | 01/27/21 | 3,083.60 | ... | 200.25 | 6 of 10 - Sale ²⁵ |
| | 2,000.000 | 1,641.93 | 01/28/21 | 1,678.80 | ... | -36.87 | 7 of 10 - Sale ²⁵ |
| | 180.000 | 147.77 | 01/28/21 | 144.00 | ... | 3.77 | 8 of 10 - Sale ²⁵ |
| | 820.000 | 673.19 | 01/28/21 | 656.00 | ... | 17.19 | 9 of 10 - Sale ²⁵ |
| | 1,000.000 | 820.96 | 01/28/21 | 800.00 | ... | 20.96 | 10 of 10 - Sale ²⁵ |
| 01/29/21 | 18,000.000 | 14,777.33 | Various | 15,013.33 | ... | -236.00 | Total of 10 transactions |
| | Security total: | 26,085.65 | | 26,259.62 | 8.54 W | -165.43 | |
| Totals : | | 374,927.23 | | 365,320.45 | 7,656.60 W | 17,263.38 | |

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Robinhood Securities LLC

Account 912367513

2021

Proceeds Not Reported to the IRS

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

| Date sold or disposed | Quantity | Proceeds & Reported (G)ross or (N)et | Date acquired | Cost or other basis | Accrued mkt disc (D) & Wash sale loss disallowed (W) | Gain or loss(-) & Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|--------------------------------------|---------------|---------------------|--|--|------------------------|
| META MATERIALS INC. COMMON STOCK / CUSIP: 59134N104 / Symbol: 07/06/21 | 0.728 | 6.80 | 02/24/21 | 4.11 | ... | 2.69 | Gain or loss |
| META METALS INC PREFERRED (SERIES A) / CUSIP: 59134N203 / Symbol: 10/15/21 | 0.455 | 0.40 | 02/24/21 | 0.00 | ... | 0.40 | Gain or loss |
| Totals: | | 7.20 | | 4.11 | ... | 3.09 | |

Robinhood Securities LLC

Account 912367513

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

| Description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|---------------|---------------------|----------|--------|----------------------------|-------|
| | | 01/25/21 | -5.00 | Margin interest paid | |
| | | 02/24/21 | -5.00 | Margin interest paid | |
| | | 03/26/21 | -5.00 | Margin interest paid | |
| | | 04/26/21 | -5.00 | Margin interest paid | |
| | | 05/25/21 | -5.00 | Margin interest paid | |
| | | 06/24/21 | -5.00 | Margin interest paid | |
| | | 07/26/21 | -5.00 | Margin interest paid | |
| | | | -35.00 | Total Margin interest paid | |
| Margin charge | | 08/23/21 | -5.00 | Margin interest paid | |
| | | 09/22/21 | -5.00 | Margin interest paid | |
| | | 10/22/21 | -5.00 | Margin interest paid | |
| | | 11/22/21 | -5.00 | Margin interest paid | |
| | | 12/21/21 | -5.00 | Margin interest paid | |
| | | | -25.00 | Total Margin interest paid | |
| | | | -60.00 | Total Margin interest paid | |

Robinhood Securities LLC

Account 912367513

2021

Non-Reportable Actions

02/11/2022

| Security Description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|---------------------------------------|---------------------|----------|----------|--------|------------------|-------|
| META METALS INC PREFERRED (SER IES A) | 59134N203 | 07/07/21 | 5,547.00 | 0.00 | Stock spinoff | |

Robinhood Securities LLC

2021 End Notes for Account 912367513

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc
85 Willow Road
Menlo Park, CA 94025
650-940-2700

Dane Jenkins
1860 E 1200 N
Syracuse, IN 46567

Master Account Number for Import:
912367513
Document ID for Import:
36VPINGP8TP

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

912367513 - Robinhood Securities LLC

New for 2022 Tax Year - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

Important - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

CSVs - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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11 - [] FATCA filing requirement (see instructions) Summary Information 13 - [] FATCA filing requirement (see instructions)

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

Refer to the 1999-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Summary Information
(continued)

Account: 912367513

2022

02/01/2023

INTEREST INCOME

2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| | |
|---|------|
| 1- Interest income (not included in line 3) | 0.00 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: | 0.00 |
| 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| Tax-exempt obligations (covered lots) | 0.00 |
| Tax-exempt private activity obligations (AMT, covered lots) | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number | |
| FATCA filing requirement [] | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|-------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 0.00 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 55.00 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 0.00 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 0.00 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

Account 912367513

2022 1099-B* OMB No. 1545-0715

02/01/2023

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|----------------------|----------------------------|--|---|------------------------------------|
| AAPL 09/30/2022 CALL \$152.50 / CUSIP: / Symbol: | | | | | | | |
| 09/28/22 | 1.000 | 47.98 | 09/28/22 | 52.00 | ... | -4.02 | Option sale to close-call |
| AAPL 09/30/2022 CALL \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 4 transactions for 09/28/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 20.98 | 09/28/22 | 14.00 | ... | 6.98 | 1 of 4 - Option sale to close-call |
| | 1.000 | 20.99 | 09/28/22 | 14.00 | ... | 6.99 | 2 of 4 - Option sale to close-call |
| | 1.000 | 20.99 | 09/28/22 | 14.00 | ... | 6.99 | 3 of 4 - Option sale to close-call |
| | 1.000 | 21.00 | 09/28/22 | 14.00 | ... | 7.00 | 4 of 4 - Option sale to close-call |
| 09/28/22 | 4.000 | 83.96 | Various | 56.00 | ... | 27.96 | Total of 4 transactions |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 912367513

Proceeds from Broker and Barter Exchange Transactions

2022 1099-B* OMB No. 1545-0715

(continued)

02/01/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|----------------------|----------------------------|--|---|------------------------------------|
| MMAT 10/21/2022 CALL \$1.50 / CUSIP: / Symbol: | | | | | | | |
| 2 transactions for 10/17/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 5.000 | 4.98 | 10/13/22 | 75.00 | ... | -70.02 | 1 of 2 - Option sale to close-call |
| | 3.000 | 2.98 | 10/13/22 | 45.00 | ... | -42.02 | 2 of 2 - Option sale to close-call |
| 10/17/22 | 8.000 | 7.96 | Various | 120.00 | ... | -112.04 | Total of 2 transactions |
| NFLX 10/07/2022 PUT \$195.00 / CUSIP: / Symbol: | | | | | | | |
| 09/29/22 | 1.000 | 48.98 | 09/28/22 | 36.00 | ... | 12.98 | Option sale to close-put |
| SPY 10/03/2022 CALL \$377.00 / CUSIP: / Symbol: | | | | | | | |
| 09/29/22 | 2.000 | 101.98 | 09/29/22 | 90.00 | ... | 11.98 | Option sale to close-call |
| SPY 10/03/2022 PUT \$363.00 / CUSIP: / Symbol: | | | | | | | |
| 10/03/22 | 1.000 | 117.98 | 10/03/22 | 100.00 | ... | 17.98 | Option sale to close-put |
| SPY 10/03/2022 PUT \$365.00 / CUSIP: / Symbol: | | | | | | | |
| 10/03/22 | 1.000 | 14.98 | 10/03/22 | 17.00 | ... | -2.02 | Option sale to close-put |
| SPY 10/05/2022 CALL \$375.00 / CUSIP: / Symbol: | | | | | | | |
| 09/30/22 | 1.000 | 114.98 | 09/30/22 | 103.00 | ... | 11.98 | Option sale to close-call |
| SPY 10/05/2022 PUT \$360.00 / CUSIP: / Symbol: | | | | | | | |
| 5 transactions for 10/04/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 9.98 | 10/04/22 | 31.02 | ... | -21.04 | 1 of 5 - Option sale to close-put |
| | 1.000 | 14.00 | 10/04/22 | 21.00 | ... | -7.00 | 2 of 5 - Option sale to close-put |
| | 1.000 | 13.99 | 10/04/22 | 20.00 | ... | -6.01 | 3 of 5 - Option sale to close-put |
| | 1.000 | 14.00 | 10/04/22 | 19.00 | ... | -5.00 | 4 of 5 - Option sale to close-put |
| | 1.000 | 13.99 | 10/04/22 | 18.00 | ... | -4.01 | 5 of 5 - Option sale to close-put |
| 10/04/22 | 5.000 | 65.96 | Various | 109.02 | ... | -43.06 | Total of 5 transactions |
| 2 transactions for 10/04/22. Total proceeds and cost reported to the IRS. | | | | | | | |
| | 1.000 | 13.98 | 10/04/22 | 23.00 | 9.02 W | 0.00 | 1 of 2 - Option sale to close-put |
| | 1.000 | 14.00 | 10/04/22 | 30.02 | 16.02 W | 0.00 | 2 of 2 - Option sale to close-put |
| 10/04/22 | 2.000 | 27.98 | Various | 53.02 | 25.04 W | 0.00 | Total of 2 transactions |
| Security total: | | 93.94 | | 162.04 | 25.04 W | -43.06 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 912367513

2022 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

02/01/2023

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|--------------------------|
| SPY 10/05/2022 PUT \$370.00 / CUSIP: / Symbol: | | | | | | | |
| 10/05/22 | 1.000 | 91.98 | 10/05/22 | 71.00 | ... | 20.98 | Option sale to close-put |
| SPY 10/07/2022 PUT \$360.00 / CUSIP: / Symbol: | | | | | | | |
| 10/06/22 | 1.000 | 21.98 | 10/05/22 | 19.00 | ... | 2.98 | Option sale to close-put |
| SPY 10/10/2022 PUT \$357.00 / CUSIP: / Symbol: | | | | | | | |
| 10/07/22 | 1.000 | 99.98 | 10/07/22 | 95.00 | ... | 4.98 | Option sale to close-put |
| META METALS INC PREFERRED (SER IES A) / CUSIP: 59134N203 / Symbol: | | | | | | | |
| 02/23/22 | 5.000 | 9.30 | 02/24/21 | 0.00 | ... | 9.30 | Sale ²⁵ |
| Totals : | | 855.98 | | 921.04 | 25.04 W | -40.02 | |

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|-------------------|-------------------------|--|---|------------------------|
| META METALS INC PREFERRED (SER IES A) / CUSIP: 59134N203 / Symbol: | | | | | | | |
| 06/21/22 | 5.000 | 6.55 | 02/24/21 | 0.00 | ... | 6.55 | Sale ²⁵ |
| 07/22/22 | 2.000 | 2.86 | 02/24/21 | 0.00 | ... | 2.86 | Sale ²⁵ |
| 08/18/22 | 5.000 | 7.05 | 02/24/21 | 0.00 | ... | 7.05 | Sale ²⁵ |
| 09/28/22 | 40.000 | 60.40 | 02/24/21 | 0.00 | ... | 60.40 | Sale ²⁵ |
| Security total: | | 76.86 | | 0.00 | ... | 76.86 | |
| Totals : | | 76.86 | | 0.00 | | 76.86 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Detail for Miscellaneous Information

Account 912367513

2022

02/01/2023

| Security description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|----------------------|---------------------|----------|--------|----------------------------|-------|
| US Dollars | USDOLLARS | 02/02/22 | 0.01 | Other income | |
| | | | 0.01 | Total Miscellaneous income | |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Fees and Expenses

Account 912367513

2022

02/01/2023

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

| Description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|---------------|---------------------|----------|--------|----------------------------|-------|
| Margin charge | | 01/20/22 | -5.00 | Margin interest paid | |
| | | 02/22/22 | -5.00 | Margin interest paid | |
| | | 03/21/22 | -5.00 | Margin interest paid | |
| | | 04/20/22 | -5.00 | Margin interest paid | |
| | | 05/20/22 | -5.00 | Margin interest paid | |
| | | 06/21/22 | -5.00 | Margin interest paid | |
| | | 07/19/22 | -5.00 | Margin interest paid | |
| | | 08/18/22 | -5.00 | Margin interest paid | |
| | | 10/13/22 | -5.00 | Margin interest paid | |
| | | 11/14/22 | -5.00 | Margin interest paid | |
| | | 12/12/22 | -5.00 | Margin interest paid | |
| | | | -55.00 | Total Margin interest paid | |
| | | | -55.00 | Total Margin interest paid | |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
2022

Non-Reportable Actions

Account: 912367513

02/01/2023

| Security Description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|---------------------------------------|---------------------|----------|-----------|--------|------------------|-------|
| META METALS INC PREFERRED (SER IES A) | 59134N203 | 12/13/22 | -5,490.00 | 0.00 | Merger | |
| NEXT BRIDGE HYDROCARBONS INC. | 591994371 | 12/13/22 | 5,490.00 | 0.00 | Merger | |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

2022 End Notes for Account 912367513

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Robinhood Markets Inc
85 Willow Road
Menlo Park, CA 94025
650-940-2700



Dane Jenkins
1860 E 1200 N
Syracuse, IN 46567

Master Account Number for Import:
912367513
Document ID for Import:
36VP1NGP8TP

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

912367513 - Robinhood Securities LLC

New for 2023 Tax Year - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

Filing Your Taxes - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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| | | |
|--|---|---|
| Robinhood Markets Inc. as agent for Robinhood Securities LLC 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776 | Tax Information Account 912367513 Dane Jenkins 1860 E 1200 N Syracuse, IN 46567 RECIPIENT'S TIN: XXX-XX-3850 | Statement Date: 02/02/2024 <div style="border: 2px solid black; padding: 5px; font-size: 24pt; font-weight: bold; text-align: center;">2023</div> |
|--|---|---|

| 11 - [] FATCA filing requirement (see instructions) | | Summary Information | | 13 - [] FATCA filing requirement (see instructions) | |
|--|--|----------------------|-------------------|--|--|
| DIVIDENDS AND DISTRIBUTIONS | | 2023 1099-DIV* | OMB No. 1545-0110 | MISCELLANEOUS INFORMATION | |
| | | | | 2023 1099-MISC* OMB No. 1545-0115 | |
| 1a- Total ordinary dividends (includes lines 1b, 5, 2e) | | | 0.00 | 2- Royalties 0.00 | |
| 1b- Qualified dividends | | | 0.00 | 3- Other income 0.00 | |
| 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) | | | 0.00 | 4- Federal income tax withheld 0.00 | |
| 2b- Unrecaptured Section 1250 gain | | | 0.00 | 8- Substitute payments in lieu of dividends or interest 0.00 | |
| 2c- Section 1202 gain | | | 0.00 | | |
| 2d- Collectibles (28%) gain | | | 0.00 | SECTION 1256 CONTRACTS 2023 1099-B* OMB No. 1545-0715 | |
| 2e- Section 897 ordinary dividends | | | 0.00 | 8- Profit or (loss) realized in 2023 on closed contracts 0.00 | |
| 2f- Section 897 capital gain | | | 0.00 | 9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 | |
| 3- Nondividend distributions | | | 0.00 | 10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00 | |
| 4- Federal income tax withheld | | | 0.00 | 11- Aggregate profit or (loss) on contracts 0.00 | |
| 5- Section 199A dividends | | | 0.00 | | |
| 6- Investment expenses | | | 0.00 | If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document. | |
| 8- Foreign country or US possession: | | 7- Foreign tax paid: | 0.00 | * This is important tax information and is being furnished to the Internal Revenue Service. | |
| 9- Cash liquidation distributions | | | 0.00 | If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. | |
| 10- Noncash liquidation distributions | | | 0.00 | | |
| 12- Exempt-interest dividends (includes line 13) | | | 0.00 | | |
| 13- Specified private activity bond interest dividends (AMT) | | | 0.00 | | |

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|-----------------------------|--|-----------------|------------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 1,541.66 | 2,245.04 | 0.00 | 60.04 | -643.34 |
| Short | B (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | C (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Short-term | 1,541.66 | 2,245.04 | 0.00 | 60.04 | -643.34 |
| Long | D (basis reported to the IRS) | 0.00 | 40,500.00 | 0.00 | 0.00 | -40,500.00 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Long-term | 0.00 | 40,500.00 | 0.00 | 0.00 | -40,500.00 |
| Undetermined | B or E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Undetermined-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand total | 1,541.66 | 42,745.04 | 0.00 | 60.04 | -41,143.34 |
| Withholding | | Amount | | | | |
| Federal income tax withheld | | 0.00 | | | | |

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
2023

Summary Information
(continued)

Account 912367513

02/02/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| | |
|---|------|
| 1- Interest income (not included in line 3) | 0.00 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: | 0.00 |
| 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| Tax-exempt obligations (covered lots) | 0.00 |
| Tax-exempt private activity obligations (AMT, covered lots) | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number | |
| FATCA filing requirement [] | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|-------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 0.00 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 0.00 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 10.00 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 0.00 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

Account 912367513

2023 1099-B* OMB No. 1545-0715

02/02/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|---|
| AMZN 09/15/2023 PUT \$137.50 / CUSIP: / Symbol: | | | | | | | |
| 09/15/23 | 1.000 | 0.98 | 09/12/23 | 32.00 | ... | -31.02 | Option sale to close-put ²⁵ |
| AMZN 09/15/2023 PUT \$140.00 / CUSIP: / Symbol: | | | | | | | |
| 09/15/23 | 1.000 | 12.98 | 09/12/23 | 87.00 | ... | -74.02 | Option sale to close-put ²⁵ |
| SPY 09/11/2023 CALL \$448.00 / CUSIP: / Symbol: | | | | | | | |
| 09/11/23 | 3.000 | 164.98 | 09/11/23 | 120.00 | ... | 44.98 | Option sale to close-call ²⁵ |
| SPY 09/11/2023 PUT \$447.00 / CUSIP: / Symbol: | | | | | | | |
| 09/11/23 | 1.000 | 81.98 | 09/11/23 | 69.00 | ... | 12.98 | Option sale to close-put ²⁵ |
| SPY 09/12/2023 PUT \$447.00 / CUSIP: / Symbol: | | | | | | | |
| 09/12/23 | 2.000 | 83.98 | 09/12/23 | 126.00 | ... | -42.02 | Option sale to close-put ²⁵ |
| SPY 09/20/2023 CALL \$443.00 / CUSIP: / Symbol: | | | | | | | |
| 09/20/23 | 10.000 | 159.97 | 09/20/23 | 280.00 | ... | -120.03 | Option sale to close-call ²⁵ |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

| | | | | | | |
|---|---------|-------------------|---|--|--|-------------------|
| Robinhood Markets Inc. as agent for Robinhood Securities LLC | | | Proceeds from Broker and Barter Exchange Transactions | | | Account 912367513 |
| 2023 | 1099-B* | OMB No. 1545-0715 | (continued) | | | 02/02/2024 |

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|----------|---|----------------------|----------------------------|--|---|---|
| SPY 09/21/2023 CALL \$436.00 / CUSIP: / Symbol: | | | | | | | |
| 09/21/23 | 34.000 | 441.91 | Various | 722.04 | ... | -280.13 | Total of 5 transactions |
| 09/21/23 | 6.000 | 77.96 | Various | 138.00 | 60.04 W | 0.00 | Total of 2 transactions |
| Security total: | | 519.87 | | 860.04 | 60.04 W | -280.13 | |
| TSLA 09/22/2023 CALL \$255.00 / CUSIP: / Symbol: | | | | | | | |
| 09/22/23 | 2.000 | 235.96 | Various | 312.00 | ... | -76.04 | Total of 2 transactions |
| TSLA 09/22/2023 CALL \$257.50 / CUSIP: / Symbol: | | | | | | | |
| 09/22/23 | 3.000 | 173.98 | 09/22/23 | 249.00 | ... | -75.02 | Option sale to close-call ²⁵ |
| TSLA 09/29/2023 CALL \$260.00 / CUSIP: / Symbol: | | | | | | | |
| 09/25/23 | 1.000 | 106.98 | 09/25/23 | 110.00 | ... | -3.02 | Option sale to close-call ²⁵ |
| Totals : | | 1,541.66 | | 2,245.04 | 60.04 W | -643.34 | |

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|----------------------|----------------------------|--|---|-------------------------|
| WKHS 01/20/2023 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 01/20/23 | 50.000 | 0.00 | Various | 40,500.00 | ... | -40,500.00 | Total of 7 transactions |
| Totals : | | 0.00 | | 40,500.00 | | -40,500.00 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Fees and Expenses

Account 912367513

2023

02/02/2024

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

| Description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|-----------------------|---------------------|----------|--------|----------------------------|-------|
| Gold Subscription fee | GLDSUBFEE | 01/11/23 | -5.00 | Subscription expense | |
| | | 09/11/23 | -5.00 | Subscription expense | |
| | | | -10.00 | Total Subscription expense | |
| | | | -10.00 | Total Subscription expense | |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

2023 End Notes for Account 912367513

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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